



FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)165.00

Complete if Known

Application Number	09/918580
Filing Date	July 31, 2001
First Named Inventor	Derek Shuman
Examiner Name	Timothy McNulty
Art Unit	3682
Attorney Docket No.	

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	740	2001	370	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	510	2003	255	Plant filing fee	
1004	740	2004	370	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)165

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
29	-20** = 9	9	81
Independent Claims	5	-3** = 2	42
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)165

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	400	2252	200	Extension for reply within second month	
1253	920	2253	460	Extension for reply within third month	
1254	1,440	2254	720	Extension for reply within fourth month	
1255	1,960	2255	980	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,280	2453	640	Petition to revive - unintentional	
1501	1,280	2501	640	Utility issue fee (or reissue)	
1502	460	2502	230	Design issue fee	
1503	620	2503	310	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	740	2810	370	For each additional invention to be examined (37 CFR 1.129(b))	
1801	740	2801	370	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)165

SUBMITTED BY

Name (Print/Type)	Derek Shuman	Registration No. (Attorney/Agent)		Telephone	510-601-5625
Signature	<i>Derek Shuman</i>	Date	Dec. 10, 2002		

WARNING: Inf rmation on this form may become public. Credit card information should not be included n this form. Pr vide cr dit card inf rmati n and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Application Number: 09/918580

36824
#10/Amndt B
12-24-02
JB

In the United States Patent and Trademark Office

Application Number: 09/918580
Application Filed: July 31, 2001
Applicant(s): Derek Shuman
Application Title: Convertible Clipless Binding/Unbound Bicycle Pedal
Examiner/GAU: McAnulty, T. / 3682

Mailed: December 10, 2002
At: Oakland, CA

Preliminary Amendment B

Honorable Commissioner for Patents
Washington, District of Columbia, 20231

RECEIVED
DEC 1 8 2002
GROUP 3600

Sir:

Please amend the above application as follows:

Specification:

Please replace the paragraph beginning on page 6, line 24 with the following rewritten paragraph:

A bicycle pedal having both clipless shoe retention mechanisms (bindings) and full load bearing shoe supporting surfaces on each opposing side of the pedal, wherein the relative height between the clipless binding and the shoe supporting surface of each side of the pedal is sufficiently variable, such that the cyclist's shoe either rests and is supported fully on either of the shoe supporting surfaces to provide unbound operation, without the riders shoe being attached to the pedal, or attaches to, via a shoe mounted cleat, either of the bindings to provide clipless binding operation, as desired. The setting of the relative height between the bindings and shoe supporting surfaces is effected on both sides of the pedal, simultaneously, upon a single actuation performed by the cyclist. The setting of the relative height between

12/18/2002 AWONDAF1 00000052 09918580

01 FC:2202
02 FC:2201

81.00 OP
84.00 OP

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